10-26-04



IfW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Serial No.

10/829,534

Applicant:

Jeff SHEPPARD

Group Art Unit:

3634

Filing Date:

April 22, 2004

Title:

TWO-PIECE MOUNTING BRACKET FOR HEAT EXCHANGER

Attorney Docket No.:

60,680-801

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT, UNDER 37 C.F.R. 1.97

Applicant submits this Supplemental Information Disclosure Statement in accordance with the duty of disclosure under 37 C.F.R. §1.56 and §1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b)(3). Enclosed herewith is a List of References Cited by Applicant (PTO-1449) (one sheet), as well as copies of each of the references listed thereon.

Inasmuch as each of the references listed on attached Form PTO-1449 is in English, no further commentary by Applicant is believed necessary, 37 C.F.R. §1.98(a)(3). Applicant respectfully solicits the Examiner's consideration for the cited references and entry thereof into the record of this application.

Applicant, by submitting this Information Disclosure Statement, is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Information Disclosure Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, is otherwise "material to the patentability" as that term is defined in that section.

Applicant believes that this Information Disclosure Statement is being submitted prior to the mailing date of the first Office Action in the above-identified matter, in accordance with 37 C.F.R. § 1.97 (b)(3). However, in the event that the first Office Action has already been mailed and Applicant has not yet received the Office Action, Applicant petitions for consideration of this Information Disclosure Statement and authorizes the Assistant Commissioner to deduct the fee of \$180.00 required under 37



C.F.R. § 1.97 (c)(2) and 37 C.F.R. § 1.17 (p) from Deposit Account No. 13-2400. The Assistant Commissioner is further authorized to deduct any additional fees required in connection with this Information Disclosure Statement from Deposit Account No. 13-2400 and to credit any overpayment to Deposit Account No. 13-2400.

EXECUTED at Toronto, Ontario, Canada, this 13th day of October 2004.

Respectfully submitted,

By

W. Dennis Moss

Registration No. 27,749

Encl. Form PTO-1449 RIDOUT & MAYBE LLP Suite 2400 - One Queen Street East TORONTO, Ontario M5C 3B1 Canada (416) 868-1482

H:\PUBLIC\DGREER\PATENTS\39076 - DANA CANADA\0612-US MOUNTING BRACKET\39076-0612_SUPP ID STATEMENT.DOC

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): SHEPPARD			Docket No. 60,680-801		
Application No. 10/829,534	Filing Date 04/22/2004	Examiner UNKNOWN	Customer No. 26127	Group Art Uni 3634	
OCT 2 5 2004 B	CE MOUNTING BRACK	ET FOR HEAT EXCHANGER			
I hereby certify that	the following corresponde	ence:			
SUPPLEMENTAL I	NFORMATION DISCLO	SURE STATEMENT UNDER 37 C.F.	R. 1.97		
	· ·	dentify type of correspondence)			
is being deposited v	vith the United States Pos	stal Service "Express Mail Post Office	to Addressee" s	ervice under 37	
	•	missioner for Patents, P.O. Box 1450			
	10/25/2004				
_	(Date)				
		MARY F.	PELTIER		
		(Typed or Printed Name of Pers	on Mailing Correspond	lence)	
		mount to) ltres		
		(Signature of Person Mai	iling Correspondence)		
		ER52195	52836US		
		("Express Mail" Mail	ing Label Number)		

Note: Each paper must have its own certificate of mailing.



MAUR						
Form PTO-1449U.S. DEPARTMENT OF COMMERCE (Rev. 2-32)PATENT AND TRADEMARK OFFICE					Attorney Docket No. 60,680-801	Serial No. 10/829,534
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets if Necessary)					Applicant: Jeff Sheppard	
				Filing Date April 22, 2004	Group Art Unit: 3634	
U.S. PATENT DOCUMENTS						
Examiner Initial	Document Number	Date (MM/DD/YY)	Name	Class	Subclass	Filing Date if Appropriate (MM/DD/YY)
	6,742,572	06/01/2004	Muhammad et al			
<u></u>	l				1	<u> </u>

FOREIGN PATENT DOCUMENTS

						Translatio	on
Examiner Initial	Document Number	Date	Country	Class	Sub-Class	Yes	No ·

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	U.S. Patent Application Serial No. 10/851,784 entitled RIBBED MOUNTING BRACKET FOR HEAT EXCHANGERS filed May 21, 2004.				
	U.S. Patent Application Serial No. 10/851,785 entitled VIBRATION-RESISTANT MOUNTING BRACKET FOR HEAT EXCHANGERS filed May 21, 2004.				
EXAMINER DATE CONSIDERED					
* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

* •						
CERTIFICATE OI	Docket No.					
Applicant(s): SHEPPA	60,680-801					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit		
Application No. 10/829,534	04/22/2004	UNKNOWN	26127	3634		
10/025,554	04/22/2004					
Invention: TWE PIE	CE MOUNTING BRACK	ET FOR HEAT EXCHANGER				
OCT 2 5 2004						
\						
THAD PARTY						
	the following corresponde	ence:				
FORM PTO-1449 an	nd COPIES OF TWO (2) "	'OTHER DOCUMENTS"				
L	(I	dentify type of correspondence)				
is being deposited w	vith the United States Pos	stal Service "Express Mail Post Office	e to Addressee" s	ervice under 37		
CFR 1.10 in an enve	elope addressed to: Comi	missioner for Patents, P.O. Box 1450	, Alexandria, VA	22313-1450 on		
	10/25/2004 (Date)					
		MARY F.	DEI TIED			
		(Typed or Printed Name of Pers		lence)		
(Signature of Person Mailing Correspondence)						
			_			
	("Express Mail" Mailing Label Number)					
		(Express mun mun	ing Luber Numbery			
	Note: Each pap	per must have its own certificate of mailing	ç.			